

12/26/01

10025465-122601

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. <u>PNDF-01211</u>	
Only for new nonprovisional applications under 37 CFR 1.53(b))			
To the Assistant Commissioner of Patents			
Transmitted herewith for filing is the patent application of:			
Inventors: Toshiyuki KAMBE			
Corresponding to Japanese application nos. 2000-391386 and 2001-081184 filed on 22 December 2000 and 21 March 2001 , respectively.			
Title: OPTICAL WAVEGUIDE DEVICE AND PROCESS FOR PRODUCTION THEREOF			
Enclosed are:			
<input checked="" type="checkbox"/>	17	Pages of Specification containing <u>12</u> claims	
<input checked="" type="checkbox"/>	5	Sheets of formal Drawings	<input checked="" type="checkbox"/> Abstract of the Disclosure
<input type="checkbox"/>		Submission of English translation of prior provisional application under 37 CRR 1.78(a)(5)	
<input checked="" type="checkbox"/>		Newly executed Oath or Declaration/Power of Attorney	
<input type="checkbox"/>		Copy of Oath or Declaration/Power of Attorney from a prior application (continuation/divisional)	
<input type="checkbox"/>		<input type="checkbox"/> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
<input type="checkbox"/>		<input type="checkbox"/> Deletion of inventor(s). A signed statement attached deleting inventor(s) named in the prior application (see 37 CFR 1.63 (d)(2) and 1.33(b))	
<input type="checkbox"/>		Applicant claims small entity status under 37 C.F.R. § 1.27	
<input checked="" type="checkbox"/>		Application Data Sheet under 37 C.F.R. § 1.76	
<input checked="" type="checkbox"/>		Assignment Papers (cover sheet & document(s))	
<input type="checkbox"/>		Information Disclosure Statement (IDS) w/PTO-1449 -	<input type="checkbox"/> Copy of IDS citations
<input type="checkbox"/>		Preliminary Amendment	
<input checked="" type="checkbox"/>		Itemized Return Receipt Postcard	
<input checked="" type="checkbox"/>		Certified Copy of Priority Document(s)	
<input type="checkbox"/>		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
<input type="checkbox"/>		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
a.		<input type="checkbox"/> Computer Readable Form (CRF)	
b.		Specification Sequence Listing on:	
		i.	<input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper
c.		<input type="checkbox"/> Statement verifying identity of above copies	
<input type="checkbox"/>		Other Attachment: _____	

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UTILITY PATENT APPLICATION TRANSMITTAL

(continued)

Attorney Docket No. PNUF-01211

If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part of Prior Appln. #

Prior Application Information:

Examiner:

Tech Center:

CORRESPONDENCE INFORMATION

Customer Number:

000466

Bar Code Label:

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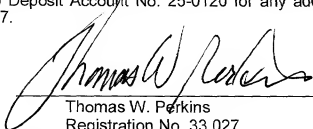
The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY		LARGE ENTITY	
			RATE	FEE	RATE	FEE
BASIC FEE				\$370.00		\$740.00
TOTAL CLAIMS	12 - 20 =	0	\$9.00	\$0	\$18.00	\$0
INDEPENDENT CLAIMS	2 - 3 =	0	\$42.00	\$0	\$84.00	\$0
MULTIPLE DEPENDENT CLAIM FEE			\$140.00	\$	\$280.00	\$
TOTAL SMALL ENTITY				\$		
					TOTAL LARGE ENTITY	\$740

☒ A Check in the amount of \$780 to cover all fees is attached.

☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 25-0120 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17.



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TWP/srs

Date: 26 December 2001